# Synthesis of the Novel MAX Phases for the Future Nuclear Fuel Cladding and Structural Materials

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# 1. Introduction

The MAX phases are the ternary compounds which have general chemical formula  $M_{n+1}AX_n$ , where n=1 to 3, M is an early transitional metal, A is an element in group 13 to 16, and X is C and/or N [1]. M has metallic bonding with A and covalent bonding with X. Elements are marked in the periodic table in Fig. 1, where M elements are marked in red, A in blue, and X in black.



Fig. 1. Periodic table illustrating elements forming the MAX phase.

Because of its laminated crystal structure which has  $M_6X$  octahedral interleaved with A-layers and the presence of active basal slip, these ternary compounds possess exceptional properties such as superb machinability, good thermal and electrical conductor, damage tolerance and very resistant to thermal shock like metal. Furthermore, the MAX phase possesses the properties such as oxidation and corrosion resistant in extreme condition, refractory, low density, high stiffness and low thermal expansion like ceramics [2]

With these properties, the MAX phases are expected to be used for the Accident Tolerant Fuel (ATF) cladding and oxidation/corrosion resistance materials. Especially, the MAX phase can be used for the Gen-IV, SFR and HTGR, component materials which have to possess the thermal and corrosion resistance.

The zirconium has been used to the nuclear industry for fuel cladding because of the small thermal neutron cross-section. Zr-based MAX phase was discovered by group Lapauw et al. [3,4]. They observed the  $Zr_2AlC$ and  $Zr_3AlC_2$  with the X-ray diffraction (XRD) patterns and backscattered electron detector.

Some solid solutions allow for the formation of the MAX phase of certain M element [5]. There are two scenarios for the formation of MAX phases: (1) the nucleation scenario i.e. reaction between M-A based intermetallic and MX material followed by nucleation

and growth of Max phases and, (2) The intercalation scenario, i.e. A material intercalation into MX material and subsequent MAX phase formation [6]. There are so many attempts to add the elements in the Zr-based MAX phase due to the properties of zirconium for the nuclear applications. The solid solubility of Zr in the Nb<sub>4</sub>AlC<sub>3</sub> was investigated by Lapauw et al. The (Nb<sub>x</sub>,Zr<sub>1-x</sub>)<sub>4</sub>AlC<sub>3</sub> crystal structure was investigated by the XRD and NPD. The (Nb<sub>0.85</sub>,Zr<sub>0.15</sub>)<sub>4</sub>AlC<sub>3</sub> MAX phases were prepared from a powder mixture with NbH<sub>2</sub>, ZrH<sub>2</sub>, Al, C by reactive hot pressing at 1700°C for 30 minutes. The maximal solubility of Zr was 18.5% of Nb content in the Nb<sub>4</sub>AlC<sub>3</sub> [7].

Above this, other compounds have been attempted. The  $(Ti_{0.5},V_{0.5})_2AIC$ ,  $(Ti_{0.5},Nb_{0.5})_4AIC_3$  and  $(Ti_{0.5},Nb_{0.5})_3AIC_2$  MAX phase were discovered and observed by Anasori et al., using Rietveld analysis of the XRD patterns. These MAX phases were prepared from a powder mixture with Ti, V, Nb, Al, C by argon tube furnace at 1450°C for 2 hours [8].

In this study, the multi-component MAX phase based on the reference MAX phases, the  $(Nb_x,Zr_{1-x})_4AlC_3$  and  $(Ti_{0.5},V_{0.5})_2AlC$ , was investigated. The Spark Plasma Sintering (SPS) was selected for production method. Furthermore, sintered materials were analyzed by the scanning electron microscope (SEM), the X-ray diffraction (XRD), Energy Dispersive Spectrometry (EDS). The cross-section and the top surface were used to obtain the EDS/SEM and XRD respectively.

## 2. Experimental details

To applicate the MAX phase for future nuclear fuel cladding materials, Zr has been studied for the element for M site because of its small thermal neutron crosssection [9]. Ti, Nb, Cr are used for alloying elements in commercial fuel cladding such as Zircaloy, ZIRLO or 15-15 Ti-SS [10,11]. V has good corrosion resistance, a small neutron cross-section and it is not brittle. Moreover, V is harder than most metals and steels. Al forms a protective oxide scale (Al<sub>2</sub>O<sub>3</sub>) that does not spall off during thermal cycling. C is used instead of N for this study to prevent the formation of the long-lived isotope <sup>14</sup>C caused by irradiation of N. The experiment cases for this study are summarized in Table I.

Table I: The experiment cases for this study.

No.	Targeted compound
1	$(Nb_{0.5}Zr_{0.5})_4AlC_3$
2	$(Zr_{0.5}Cr_{0.5})_4AlC_3$
3	$(Nb_{0.5}Cr_{0.5})_4AlC_3$
4	(V <sub>0.5</sub> Ti <sub>0.5</sub> ) <sub>2</sub> AlC
5	(Nb <sub>0.5</sub> Cr <sub>0.5</sub> ) <sub>4</sub> AlC <sub>3</sub>

The starting powders that used in this study were synthesized from ZrH<sub>2</sub> (>99%, -325 mesh, Alfa Aesar), Nb (>99.8%, -60 mesh, Aldrich), Cr (>99.5%, -100 mesh, Aldrich), V (>99.5%, -100 mesh, Aldrich), Ti (>99.7%, -100 mesh, Aldrich), Al (>99.8%, -40+325 mesh, Alfa Aesar), C (>99%, <20 µm, Aldrich). The powders were mixed in a stoichiometric ratio of 4 : 1.5 : 2.8 for n=3 and 2: 1.5: 0.8 for n=1. This is in order to compensate the usual sublimation of Aluminum and invasion of carbon from graphite mold. Powders were mixed in a plastic jar using a 3-D tubular mixer with ZrO<sub>2</sub> balls ( $\Phi$ =3 mm, 100 rpm for 3 h) to make particles size finely. After mixing, the powder mixture was filled to the graphite mold ( $\Phi$ =20 mm) that was coated with B-N liquid. The Graphite mold with the powder mixture was placed in the Spark Plasma Sintering (SPS) furnace in vacuum mode and heated to the desired temperature on the graphite mold and pressured to the desired pressure on the top and bottom sides of the mold. Three different synthesis temperature and two different pressure and sintering time were used. The heating rate was set at 100°C/min for all seven cases of the experiment. Desired temperature and pressure for each experiment cases are summarized specifically in Table II.

Table II: Targeted compounds and conditions for using SPS.

No.	Temperature	Pressure	Time
	[°C]	[MPa]	[min]
1	1700	50	3
2	1500	50	5
3	1500	50	3
4	1300	50	3
5	1500	35	3

The element compositions of sintered materials are determined by the XRD (D/MAX-2500, RIGAKU). The top surface of the disc sample was used to obtain XRD patterns. The microstructure was observed by the SEM (SU8230, HITACHI) with the energy dispersive spectrometer (EDS). The entire experiment procedure is summarized in Fig. 2.



Fig. 2. Schematic of experiment procedure

#### 3. Results and discussion

Table III: Targeted compounds and predicted crystalline phases by XRD/EDS

No.	Predicted crystalline phases
1	NbC, ZrC, ZrAl <sub>2</sub> , Zr <sub>2</sub> Al <sub>3</sub>
2	$ZrC$ , $ZrAl_2$ , $Zr_2Al_3$ , $Zr_4AlC_3$ , $Zr_2AlC$ , $Zr_3AlC_2$
3	NbC, NbAl <sub>2</sub> , CrC
4	VC, TiC, Ti <sub>2</sub> Al <sub>3</sub> ,VAl <sub>3</sub>
5	NbC, NbAl <sub>2</sub> , CrC

The outputs of synthesized material are summarized in Table III. The results of synthesis are predicted by the XRD patterns and EDS data. Most XRD and EDS data are described that the MAX phases were not obtained except experiment number 2. Most cases of experiment sintered samples have carbide and metal alloys. Experiment number 2 has Zr, Al and C in the partial region SEM images are presented in Fig. 3. Left image of Fig. 3 is across-section image of  $(Zr_{0.5}Cr_{0.5})_4AlC_3$  targeting material. Formed crystalline phases partially have different elements composition. We can expect that the red circles (EDS spot 3, 4, 6), which are located in the dark region, on the right image of Fig. 3 have the possibility of the MAX phase formation according to the EDS data in Fig. 4., while the blue circles (EDS spot 1, 2, 5) which are located in the light regions on the right image of Fig. 3 might be formed ZrC or Zr-alloy.



Fig. 3. SEM image of  $(Zr_{0.5}Cr_{0.5})_4AlC_3$  targeting material



Fig. 4 EDS pointing analysis data of (Zr<sub>0.5</sub>Cr<sub>0.5</sub>)<sub>4</sub>AlC<sub>3</sub> targeting material, experiment number 2, (a) EDS spot 2 (Light region), (b) EDS spot 3 (Dark region).

 $Zr_3AlC_2$  is formed in  $(Zr_{0.5}Cr_{0.5})_4AlC_3$  targeting material according to the XRD patterns in Fig. 5. The XRD patterns of  $(Zr_{0.5}Cr_{0.5})_4AlC_3$  targeting material have a good agreement with the XRD patterns of  $Zr_3AlC_2$  [4]. Therefore, the dark region of  $(Zr_{0.5}Cr_{0.5})_4AlC_3$  targeting material has a MAX phase of  $Zr_3AlC_2$ .



Fig. 5 XRD patterns of (Zr0.5Cr0.5)4AlC3 targeting material

#### 4. Conclusions

Fabrication of the Zr-containing MAX phase was investigated for nuclear fuel cladding and structural materials applications. A MAX phase with the  $Zr_3AlC_2$  structure was synthesized by spark plasma sintering of a powder mixture targeting ( $Zr_{0.5}Cr_{0.5}$ )<sub>4</sub>AlC<sub>3</sub>. The formation of MAX phases was confirmed by XRD and

EDS of sinterd samples. In the future work, the electron probe micro analyzer (EPMA) and transmission electron microscopy (TEM) are required to certain analyze the elements composition and formation of the MAX phase.

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